

Amundi (UK) Limited, 02 November, 2020

Anti-Bribery and Corruption Policy Statement

The UK Bribery Act 2010 requires Senior Management to be committed to implementing and enforcing effective systems to prevent bribery and corruption taking place.

Amundi (UK) Ltd ("Amundi London") is committed to applying the highest standards of ethical conduct and integrity in its business activities. Every employee, individual and business acting on behalf of Amundi London is responsible for conducting company business honestly and professionally.

Amundi London's Fraud and Bribery Prevention Policy considers that bribery and corruption has a detrimental impact on business by undermining good governance and distorting free markets. Amundi London does not tolerate any form of bribery by or of its employees, agency staff ("Staff") or individuals or companies acting for it or on its behalf. All Staff are required to familiarize themselves with and comply with Amundi London's Fraud and Bribery Prevention Policy.

A breach of Amundi London's Fraud and Bribery Prevention Policy by Staff will be treated as grounds for disciplinary action. Staff should note that bribery is a criminal offence that may result in up to 10 years imprisonment and/or an unlimited fine for the individual and an unlimited fine for a firm.

A bribe is a financial advantage or other reward that is offered to, given to, or received by an individual or company (whether directly or indirectly) to induce or influence that individual or company to perform public or corporate functions or duties improperly. Staff are strictly prohibited from making, soliciting, or receiving any bribes or unauthorized payments. As part of its anti-bribery and corruption measures, Amundi London is committed to engage only in transparent, proportionate, reasonable and bona fide hospitality and promotional expenditure. Amundi London will not conduct business with associated persons or business associates that do not support its anti-bribery and corruption objectives.

The success of Amundi London's anti-bribery and corruption measures depend on everyone playing their part in helping to detect and prevent bribery and corruption. Therefore, Staff are obliged to report suspicious activity to their Financial Security Representative or alternatively report it via BKMS — the company's secure online Whistle Blowing portal. The Whistleblowing Policy, that has effect throughout the Amundi Group, aims to advise Staff of the protections afforded to those who voice concerns in good faith. All Staff are required to familiarize themselves with and comply with the Whistle Blowing Policy.

Laurent Guillet

CEO, Amundi (UK) Ltd