

# Amundi US

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## Transfer Form

Please use this form to transfer ownership of shares from your non-retirement account at Amundi US to another person/entity. Please complete a separate form per fund/account number from which you wish to transfer ownership.

## Transfer Checklist

1. A completed Amundi US *Transfer Form* signed by all existing owners, legal representatives, or beneficiaries, as applicable.
2. Obtain a Medallion Signature Guarantee. (See Section 6.)
3. A completed Amundi US account application signed by the recipient(s) to whom the shares are being transferred or the existing Amundi US account(s) information, provided in Section 3 of this form.
4. Comply with additional requirements for specific situations, as outlined below.
5. **Mail to Amundi US, PO Box 534427, Pittsburgh PA 15253-4427.**  
**Overnight Address: Amundi US, Attention 534427, 500 Ross Street, 154-0520, Pittsburgh, PA 15262**

## Requirements for Change of Ownership/Registration

### Trust

A shareholder can authorize a transfer to a trust account by submitting an Amundi US *Transfer Form*. The request must be accompanied by a completed Amundi US *Account Application for Legal Entities and Institutions* and a copy of the title and signature pages of the trust document.

**Call our Client Services line at 1-800-225-6292 for specific instructions for other situations (e.g. divorce, etc.).**

## Requirements for Transfer Due to Death (Inheritance)

### Joint Accounts (JT TEN)

The surviving tenant(s) can authorize a transfer of his/her shares by submitting an Amundi US *Transfer Form*. An Amundi US *Account Application for Individuals* is required only if transferring to a new account with a different primary social security number or if adding a new tenant. A tax waiver from the state of residence for the decedent may also be required. **Note:** If the surviving owner(s) is adding any new owner(s), an Amundi US *Account Application for Individuals* must be signed by the current owner(s) and new owner(s).

**Call our Client Services line at 1-800-225-6292 for instructions specific to other types of joint tenant registrations (e.g. tenants in common, etc.).**

### Individual Accounts

The appointed representative of the estate (common titles include executor, administrator, personal representative) must complete an Amundi US *Transfer Form*. The recipient of the assets must also complete an Amundi US *Account Application for Individuals* if the assets are being transferred to a new account. If the representative of the estate is establishing an account in the name of the decedent's estate, a copy of the letters of testamentary or administration must be provided, and the agent of the estate should complete the Amundi US *Account Application for Legal Entities and Institutions*. A tax waiver from the state of residence for the decedent may also be required.

### Transfer On Death (TOD) Accounts

The beneficiary(ies) must each complete a separate Amundi US *Transfer Form*. If requesting multiple transfers, the recipient(s) of the assets must each complete a separate Amundi US *Account Application for Individuals* if the assets are being transferred to a new account(s). A tax waiver from the state of residence for the decedent may also be required. Each beneficiary will be responsible for any inheritance tax assessed against the account.

## Requirements for Transfer as Gift

An Amundi US *Transfer Form* must be signed by all current owners. Acceptance of fair market value by the gift recipient(s) may be necessary if the cost basis calculation method for the existing or new account(s) is average cost and the shares are transferred at a loss. The date of the gift must be provided in Section 2. If a date is not provided, the settlement date will be used. The request must be accompanied by an Amundi US *Account Application for Individuals*, completed by the recipient(s) of the gift. If doing multiple transfers, each gift recipient must complete a separate Amundi US *Account Application for Individuals*. If the recipient is a charity or other entity, a copy of Articles of Association, Corporate Resolution, Official By-Laws, or Business License must be submitted with the application.

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**1 Registration Information for Existing Account(s)**

**Note: All registered owners/trustees, beneficiaries, or legal representatives, as applicable, must sign in Section 5.**

**Name(s) on the account(s)**

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Name (First, Middle Initial, Last)

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Name (First, Middle Initial, Last)

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Fund/Account Number

Social Security/Taxpayer Identification Number

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Telephone Number

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**2 Type of Transfer**

**Indicate the type of transfer requested and provide transfer details where applicable. Note: if transfer type is not indicated, the transaction will be processed as a gift.**

**A. Type of Transfer**

1. Change of Ownership/Registration (Divorce, Trust)

2. Gift\*

Date of Gift \_\_\_\_\_

Fair Market Value (FMV) Acceptance \_\_\_\_\_

(Signature of gift recipient)

**\*Note:** If the gift recipient's existing or new account is coded for Average Cost as a cost basis calculation method, then the recipient's signature above indicates acceptance of the shares valued at FMV as of the date of the gift or settlement date. If acceptance of FMV is not provided with the request, the shares will be transferred into a separate account and the First-In First-Out cost basis method will be applied unless an alternate cost basis method is provided.

3. Transfer due to death/ Inheritance (Select your relationship to the deceased shareowner below.)

Executor, Administrator or Personal Representative

Remaining Trustee

Successor Trustee

Surviving spouse joint owner (I certify that I was legally married to the shareholder at the time of his or her death.)  
(Section 2B Required)

Surviving non-spouse joint owner. (Section 2B Required)

Spouse beneficiary (I certify that I was legally married to the shareholder at the time of his or her death.)

Non-Spouse beneficiary

Name of Requestor \_\_\_\_\_

Date of Death (Required) \_\_\_\_\_

Alternate Date (Optional) \_\_\_\_\_

Alternate Value (Optional) \_\_\_\_\_

**B. Details of Transfer Due to Death (Required for joint accounts only.)**

**Note: If more than one or all owners are deceased, the dates of death for the deceased owners must be provided and you must clearly identify the last living account owner**

Cost basis will be adjusted per IRS regulations for inherited assets. To properly adjust the cost basis, provide the percentage of assets invested by each owner:

Joint owners invested an equal percentage of assets

Joint owners invested different amounts (complete the information below)

Deceased Shareowner's Name \_\_\_\_\_ % Invested \_\_\_\_\_

Surviving Joint Owner's Name\* \_\_\_\_\_ % Invested \_\_\_\_\_

**\*If there are additional surviving joint owners, please attach the appropriate information. This attachment must be signed and dated to be considered in good order.**

### **3 Transfer Instructions**

**Note:** All transfers will be processed within the current fund(s).

#### **A. Single Transfer** (Transfer to one account)

**Complete the following information.**

New Account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to existing account (provide information below)

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

Maintain Existing Account/ Remove Secondary Tenant (provide information below)\*

\_\_\_\_\_  
Name of Remaining Tenant(s)

\_\_\_\_\_  
Existing Fund/Account Number

**\*Note:** If transfer is due to death, a new account number will be assigned.

**Check one box and complete as indicated.**

All shares # \_\_\_\_\_ of shares % \_\_\_\_\_ of shares \$ \_\_\_\_\_

#### **B. Multiple Transfers** (Transfer to more than one account)

If you are transferring shares to more than one account, write the name of each of the new account owners below and provide an account number if the recipient(s) has an existing account. Indicate the amount to be transferred in each case. To add additional recipients, attach a separate sheet of paper with all necessary information.

**Account #1 - Complete as indicated and check one box:**

New Account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to existing account (provide information below)

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

# \_\_\_\_\_ of shares % \_\_\_\_\_ of shares \$ \_\_\_\_\_

**Account #2 - Complete as indicated and check one box:**

New Account (attach new account application)

\_\_\_\_\_  
Name of Recipient(s)

Transfer to existing account (provide information below)

\_\_\_\_\_  
Name of Recipient(s)

\_\_\_\_\_  
Existing Fund/Account Number

# \_\_\_\_\_ of shares % \_\_\_\_\_ of shares \$ \_\_\_\_\_

**Account #3 – Complete as indicated and check one box:**

New Account (attach new account application)

Name of Recipient(s)

Transfer to existing account (provide information below)

Name of Recipient(s)

Existing Fund/Account Number

# \_\_\_\_\_ of shares

% \_\_\_\_\_ of shares

\$ \_\_\_\_\_

**4 Cost Basis Information**

The cost basis method that is currently on your account will be used to deplete the shares for this transaction. If a cost basis election method has not been made for this account, we will use the Fund's default method, average cost, as the cost basis method in processing this transaction. If you wish to first choose a cost basis method or change a prior election, you can do so by completing the *Cost Basis Method Election Form*. Please consult your tax advisor before choosing a cost basis method. Under specific lot ID you will not be eligible to receive average cost on your non-covered shares.

**Note:** This option should not be used for requesting multiple transfers to different recipients. Please see Section 3 for that option.

For specific lot ID, provide share lot information below by selecting specific share lots to be transferred.

Date of Purchase: \_\_\_/\_\_\_/\_\_\_ Number of Shares: \_\_\_\_\_

Date of Purchase: \_\_\_/\_\_\_/\_\_\_ Number of Shares: \_\_\_\_\_

Date of Purchase: \_\_\_/\_\_\_/\_\_\_ Number of Shares: \_\_\_\_\_

**5 Signature(s) of Current Owner(s), Beneficiary(ies), or Legal Representative(s)**

I have full authority and capacity to direct the transfer(s) specified above. I recognize that Amundi US, Inc. and the Pioneer Funds; and their respective employees, agents, and service providers (collectively, "Amundi US") will not be liable for any loss, damage, or expense as a result of acting on my instruction. If I am using this form to claim inherited assets, I understand that I will be responsible for any inheritance tax assessed against the account.

**Note:** When signing, you must include your legal capacity (e.g. Trustee, Custodian, Executor, etc.) if applicable.

**X**  
Owner/Beneficiary/Legal Representative Legal Capacity Date (Month/Day/Year)

**X**  
Owner/Beneficiary/Legal Representative Legal Capacity Date (Month/Day/Year)

**6 Medallion Signature Guarantee**

The Pioneer Funds and their transfer agent accept Medallion Signature Guarantees executed by an eligible issuer participating in the Securities Transfer Agents Medallion Program 2000 (STAMP2000). Eligible issuers include U.S. domestic banks, credit unions, savings associations (including savings and loan associations), trust companies, national securities exchanges, registered securities associations, and clearing agencies. Also acceptable are broker/dealers, municipal securities broker/dealers, and government securities broker/dealers whose net capital exceeds \$100,000. For your protection, a Medallion Signature Guarantee is required for certain requests. Notarized signatures or signature guarantees from financial institutions that are not participating in one of these programs will not be accepted.

**Provide Medallion Signature Guarantee Here.**